

FORM PTO-1390 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV. 11-2000)		ATTORNEY'S DOCKET NUMBER 112740-995
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 10/505331
INTERNATIONAL APPLICATION NO. PCT/DE03/00436	INTERNATIONAL FILING DATE 13 February 2003	PRIORITY DATE CLAIMED 21 February 2002
TITLE OF INVENTION METHOD, RECEPTION TERMINAL AND TRANSMISSION TERMINAL FOR IDENTIFYING A CALLER		
APPLICANT(S) FOR DO/EO/US Christoph Euscher et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210). <p>Items 13 to 20 below concern document(s) or information included:</p> <ol style="list-style-type: none"> 13. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 17. <input checked="" type="checkbox"/> A substitute specification. 18. <input type="checkbox"/> A change of power of attorney and/or address letter. 19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 20. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 21. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 22. <input checked="" type="checkbox"/> Express Mail Label No. <u>EV 352432203 US</u> 23. <input type="checkbox"/> Other items or information: 		

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR) <div style="font-size: 24pt; font-weight: bold; text-align: center;">10/505331</div>	INTERNATIONAL APPLICATION NO. <div style="font-weight: bold; text-align: center;">PCT/DE03/00508</div>	ATTORNEY'S DOCKET NUMBER <div style="font-weight: bold; text-align: center;">112740-996</div>														
24. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) </div> <div style="text-align: right;"> \$1080.00 \$920.00 \$770.00 \$730.00 \$100.00 </div> </div> <div style="text-align: right; margin-top: 5px;"> ENTER APPROPRIATE BASIC FEE AMOUNT = </div>		<div style="border: 1px solid black; padding: 2px;"> CALCULATIONS PTO USE ONLY </div>														
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <div style="display: flex; justify-content: flex-end; gap: 20px;"> <input type="checkbox"/> 20 <input type="checkbox"/> 30 </div>		<div style="border: 1px solid black; padding: 2px;"> <div style="display: flex; justify-content: space-between;"> <div style="width: 80%;"></div> <div style="width: 10%; text-align: center;">\$</div> <div style="width: 10%;"></div> </div> </div>														
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">CLAIMS</th> <th style="width: 25%;">NUMBER FILED</th> <th style="width: 25%;">NUMBER EXTRA</th> <th style="width: 15%;">RATE</th> <th style="width: 20%;"></th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>22 - 20 =</td> <td>2</td> <td>x \$18.00</td> <td>\$36.00</td> </tr> <tr> <td>Independent claims</td> <td>3 - 3 =</td> <td>0</td> <td>x \$84.00</td> <td>\$0.00</td> </tr> </tbody> </table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		Total claims	22 - 20 =	2	x \$18.00	\$36.00	Independent claims	3 - 3 =	0	x \$84.00	\$0.00	<div style="border: 1px solid black; padding: 2px;"> <div style="display: flex; justify-content: space-between;"> <div style="width: 80%;"></div> <div style="width: 10%; text-align: center;">\$</div> <div style="width: 10%;"></div> </div> </div>
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Total claims	22 - 20 =	2	x \$18.00	\$36.00												
Independent claims	3 - 3 =	0	x \$84.00	\$0.00												
Multiple Dependent Claims (check if applicable). <div style="text-align: right;"><input type="checkbox"/></div>		<div style="border: 1px solid black; padding: 2px;"> <div style="display: flex; justify-content: space-between;"> <div style="width: 80%;"></div> <div style="width: 10%; text-align: center;">\$</div> <div style="width: 10%;"></div> </div> </div>														
TOTAL OF ABOVE CALCULATIONS =		<div style="border: 1px solid black; padding: 2px;"> <div style="display: flex; justify-content: space-between;"> <div style="width: 80%;"></div> <div style="width: 10%; text-align: center;">\$</div> <div style="width: 10%;"></div> </div> </div>														
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		<div style="border: 1px solid black; padding: 2px;"> <div style="display: flex; justify-content: space-between;"> <div style="width: 80%;"></div> <div style="width: 10%; text-align: center;">\$</div> <div style="width: 10%;"></div> </div> </div>														
SUBTOTAL =		<div style="border: 1px solid black; padding: 2px;"> <div style="display: flex; justify-content: space-between;"> <div style="width: 80%;"></div> <div style="width: 10%; text-align: center;">\$</div> <div style="width: 10%;"></div> </div> </div>														
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). <div style="display: flex; justify-content: flex-end; gap: 20px;"> <input type="checkbox"/> 20 <input type="checkbox"/> 30 </div>		<div style="border: 1px solid black; padding: 2px;"> <div style="display: flex; justify-content: space-between;"> <div style="width: 80%;"></div> <div style="width: 10%; text-align: center;">\$</div> <div style="width: 10%;"></div> </div> </div>														
TOTAL NATIONAL FEE =		<div style="border: 1px solid black; padding: 2px;"> <div style="display: flex; justify-content: space-between;"> <div style="width: 80%;"></div> <div style="width: 10%; text-align: center;">\$</div> <div style="width: 10%;"></div> </div> </div>														
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <div style="text-align: right;"><input type="checkbox"/></div>		<div style="border: 1px solid black; padding: 2px;"> <div style="display: flex; justify-content: space-between;"> <div style="width: 80%;"></div> <div style="width: 10%; text-align: center;">\$</div> <div style="width: 10%;"></div> </div> </div>														
TOTAL FEES ENCLOSED =		<div style="border: 1px solid black; padding: 2px;"> <div style="display: flex; justify-content: space-between;"> <div style="width: 80%;"></div> <div style="width: 10%; text-align: center;">\$</div> <div style="width: 10%;"></div> </div> </div>														
<div style="display: flex; justify-content: space-between;"> <div style="width: 80%;"> a. <input checked="" type="checkbox"/> A check in the amount of <u>\$956.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-1818</u> d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. </div> <div style="width: 10%; text-align: center;">\$</div> <div style="width: 10%;"></div> </div>		<div style="border: 1px solid black; padding: 2px;"> <div style="display: flex; justify-content: space-between;"> <div style="width: 80%;"></div> <div style="width: 10%; text-align: center;">\$</div> <div style="width: 10%;"></div> </div> </div>														
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.																
SEND ALL CORRESPONDENCE TO:																
<div style="border: 1px solid black; padding: 5px;"> William E. Vaughan (Reg. No. 39,056) Bell Boyd & Lloyd LLC P.O. Box 1135 Chicago, Illinois 60690 Telephone: (312) 807-4292 </div>		<div style="border: 1px solid black; padding: 5px;"> <div style="text-align: center;"> SIGNATURE </div> <div style="text-align: center; margin-top: 10px;"> William E. Vaughan NAME </div> <div style="text-align: center; margin-top: 10px;"> 39,056 REGISTRATION NUMBER </div> <div style="text-align: center; margin-top: 10px;"> August 23, 2004 DATE </div> </div>														

10/505331

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Christoph Euscher et al.
Appl. No.: PCT/DE03/00436
Title: METHOD, RECEPTION TERMINAL AND TRANSMISSION TERMINAL
FOR IDENTIFYING A CALLER
Docket No.: 112740-995

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING BY EXPRESS MAIL UNDER 37 CFR 1.10

Sir:

I hereby certify that the following documents relating to the above-identified application:

1. Transmittal Letter (duplicate);
2. International Application as originally filed on (20 pages);
3. English translation on (17 sheets);
4. Preliminary Amendment on 27 pages;
5. unexecuted Declaration and Power of Attorney (5 pages);
6. IDS on 1 page, PTO 1449 on 1 page, search report;
7. Check in the Amount of \$956.00; and
8. Return Receipt Postcard.

are being deposited with the United States Postal Service with sufficient postage as

Express Mail in an envelope addressed to:

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on August 23, 2004.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

Renee Street

Name of Person Mailing Correspondence

Signature

EV 352432203 US

Express Mail Mailing Label Number